

Categorification of 1 (and of the Alexander polynomial)

Louis-Hadrien Robert

Emmanuel Wagner



UNIVERSITÉ
DE GENÈVE

université
PARIS
DIDEROT
PARIS 7

6th SwissMAP General Meeting

HOMFLY-PT polynomial

$$aP\left(\begin{array}{c} \text{Diagram of two strands crossing over, both with arrows pointing right} \end{array}\right) - a^{-1}P\left(\begin{array}{c} \text{Diagram of two strands crossing over, top strand arrow right, bottom strand arrow left} \end{array}\right) = (q - q^{-1})P\left(\begin{array}{c} \text{Diagram of two strands crossing under, both with arrows pointing right} \end{array}\right),$$

$$P(\text{unknot}) = 1 \quad \text{or} \quad P(\text{unknot}) = \frac{a - a^{-1}}{q - q^{-1}}.$$

HOMFLY-PT polynomial

$$aP\left(\begin{array}{c} \text{Diagram of two strands crossing over} \\ \text{with arrows indicating orientation} \end{array}\right) - a^{-1}P\left(\begin{array}{c} \text{Diagram of two strands crossing under} \\ \text{with arrows indicating orientation} \end{array}\right) = (q - q^{-1})P\left(\begin{array}{c} \text{Diagram of two strands crossing over} \\ \text{with arrows indicating orientation} \end{array}\right),$$

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\mathfrak{sl}_N -invariants $a = q^N$

- ▶ General N : Reshetikhin–Turaev \mathfrak{sl}_N -invariants ($U_q(\mathfrak{sl}_N)$),
- ▶ $N = 2$: Jones polynomial,
- ▶ $N = 1$: Trivial invariant P_1 (1 on every link),
- ▶ $N = 0$: Alexander polynomial.

Categorification

Philosophy

Promote numerical invariants to (graded) vector space valued invariants.

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$$\text{Jones} \leftrightarrow \text{Khovanov homology} \quad \text{Khovanov, '00}$$

$$\mathfrak{sl}_N\text{-invariant } (N \geq 2) \leftrightarrow \mathfrak{sl}_N\text{-homology} \quad \text{Khovanov--Rozansky '08}$$

$$\text{HOMFLY-PT} \leftrightarrow \text{Triply graded homology} \quad \text{Khovanov--Rozansky, '06}$$

$$\text{Alexander} \leftrightarrow \text{Knot Floer homology} \quad \text{Ozsváth--Szabó, '04}$$

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↑ Rasmussen, '15

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↓? Dunfield--Gukov--Rasmussen, '06

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Today

- ▶ The \mathfrak{gl}_1 link invariant $P_1 \quad \leftrightarrow \quad \mathfrak{gl}_1\text{-homology}$ R.-Wagner, '18.

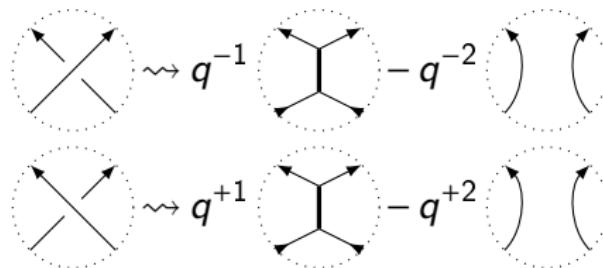
$$qP_1\left(\begin{array}{c} \nearrow \\ \searrow \\ \diagdown \\ \diagup \end{array}\right) - q^{-1}P_1\left(\begin{array}{c} \nearrow \\ \searrow \\ \diagup \\ \diagdown \end{array}\right) = (q - q^{-1})P_1\left(\begin{array}{c} \nearrow \\ \searrow \end{array}\right)$$

- ▶ The Alexander polynomial $\Delta \quad \leftrightarrow \quad \mathfrak{gl}_0\text{-homology}$ R.-Wagner, '19.

$$\Delta\left(\begin{array}{c} \nearrow \\ \searrow \\ \diagdown \\ \diagup \end{array}\right) - \Delta\left(\begin{array}{c} \nearrow \\ \searrow \\ \diagup \\ \diagdown \end{array}\right) = (q - q^{-1})\Delta\left(\begin{array}{c} \nearrow \\ \searrow \end{array}\right)$$

\mathfrak{gl}_1 invariant

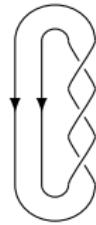
Link diagram $\rightsquigarrow \mathbb{Z}[q, q^{-1}]$ -lin. comb. of plane graphs



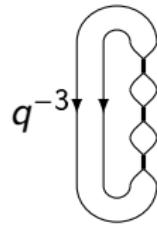
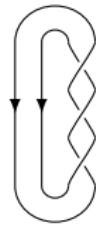
plane graph \rightsquigarrow element of $\mathbb{N}[q, q^{-1}]$

$$\Gamma \rightsquigarrow (q + q^{-1})^{\#V(\Gamma)/2} = [2]^{\#V(\Gamma)/2}.$$

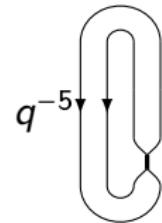
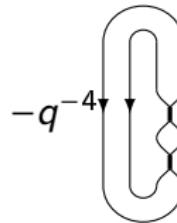
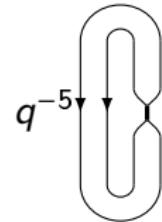
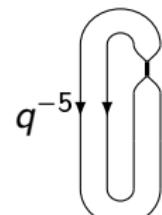
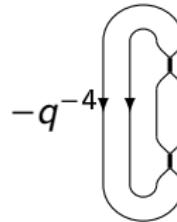
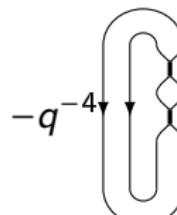
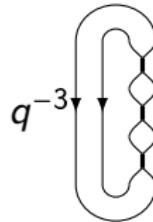
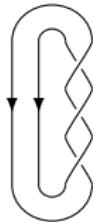
\mathfrak{gl}_1 invariant – Example



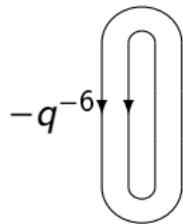
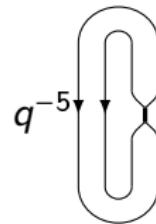
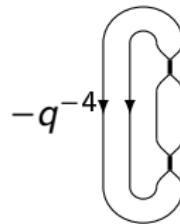
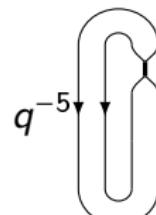
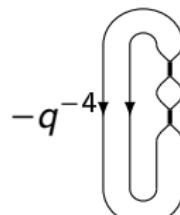
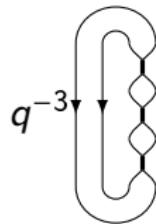
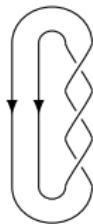
\mathfrak{gl}_1 invariant – Example



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\mathfrak{gl}_1 invariant – Example



$$1 =$$

$$q^{-3}[2]^3$$

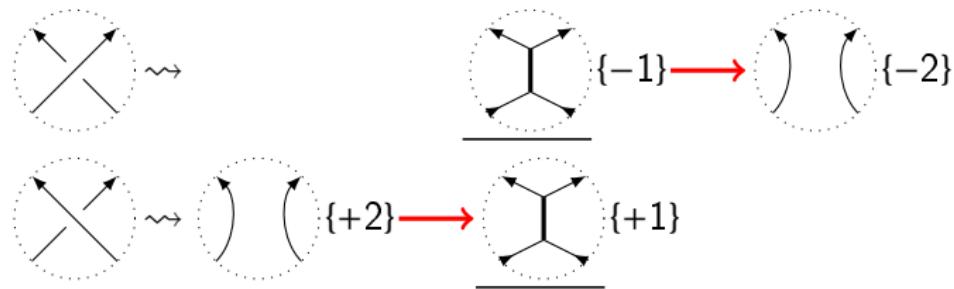
$$-3q^{-4}[2]^2$$

$$+3q^{-5}[2]$$

$$-q^{-6}$$

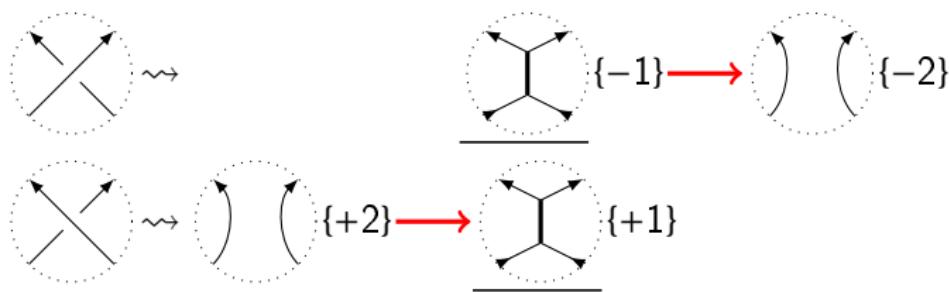
\mathfrak{gl}_1 -homology

Braid closure diagram \rightsquigarrow hypercube of plane graphs graphs (with shifts)



\mathfrak{gl}_1 -homology

Braid closure diagram \rightsquigarrow hypercube of plane graphs graphs (with shifts)

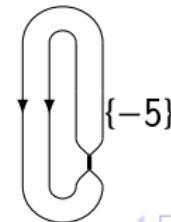
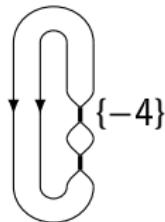
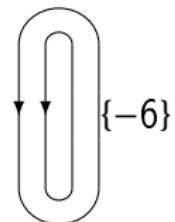
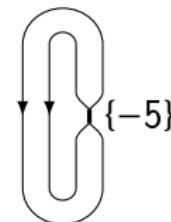
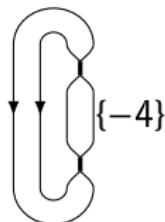
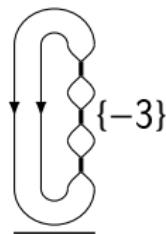
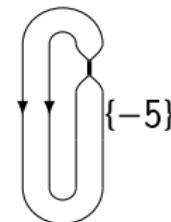
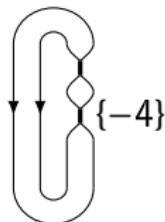


Planar (vinyl) graph \rightsquigarrow graded vector space

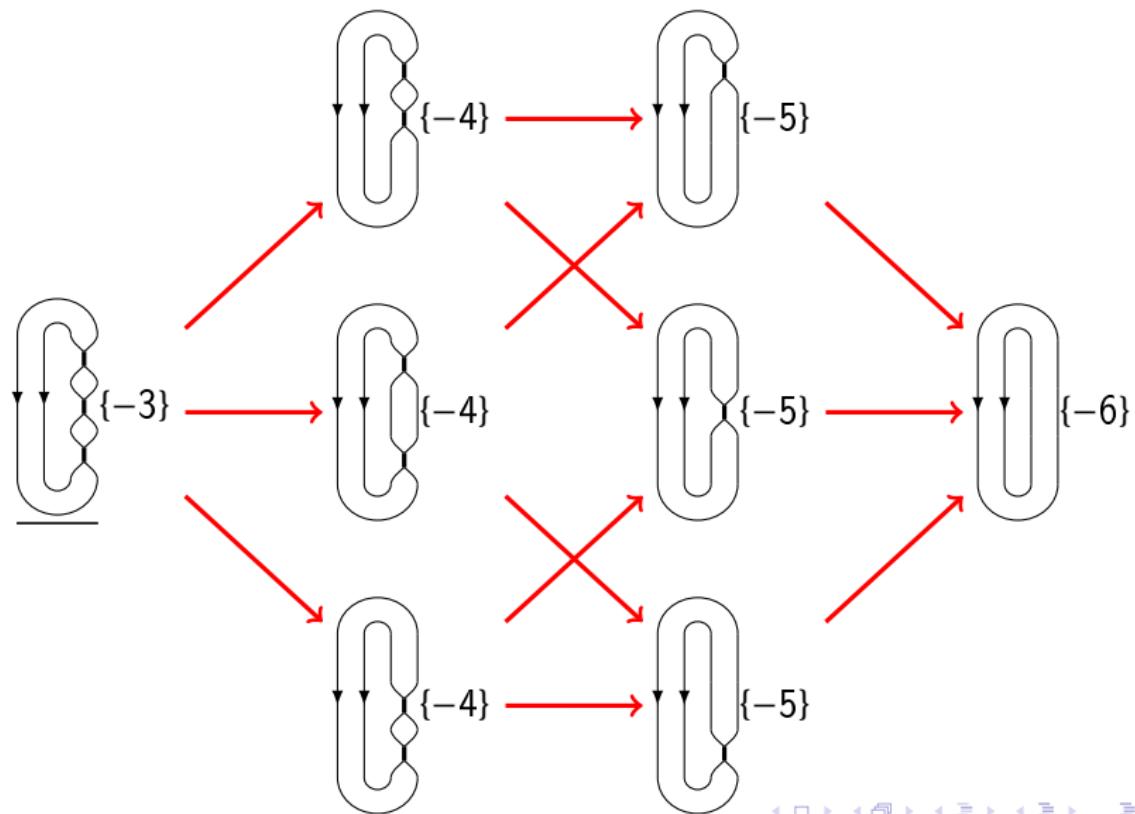
dimension $[2]^{\#V(\Gamma)/2}$

$\longrightarrow \rightsquigarrow$ graded linear map

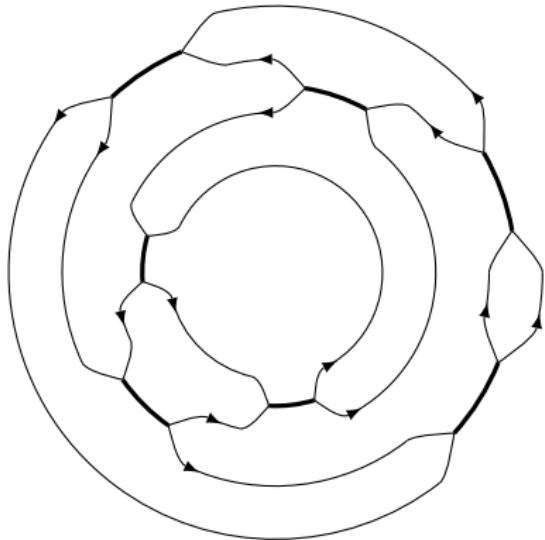
\mathfrak{gl}_1 -homology – Example



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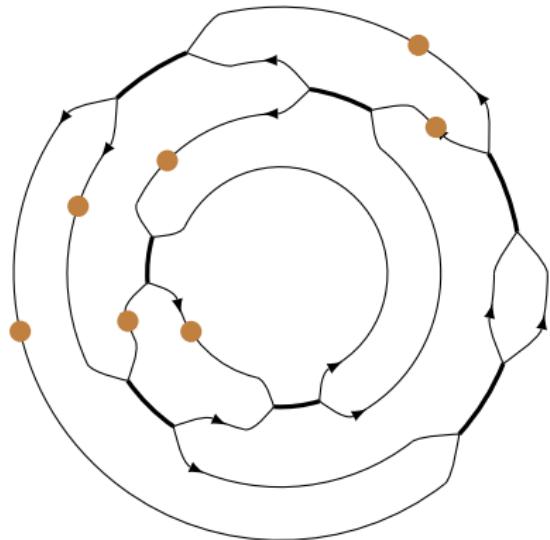


Vinyl graph \rightsquigarrow vector space



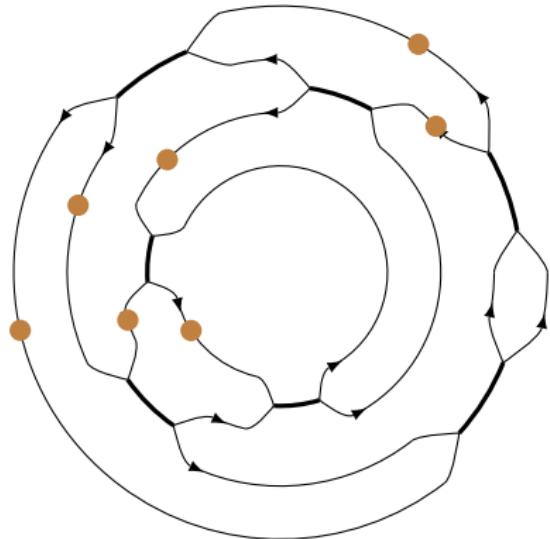
Vinyl graph $\Gamma \circlearrowleft$ index k .

Vinyl graph \rightsquigarrow vector space



Vinyl graph $\Gamma \circlearrowleft$ index k .
Dot configuration d ●.

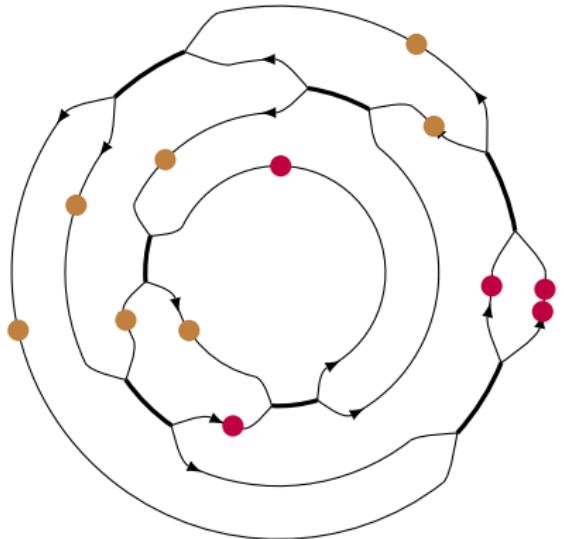
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$$D(\Gamma) = \bigoplus_d \mathbb{Q}.$$

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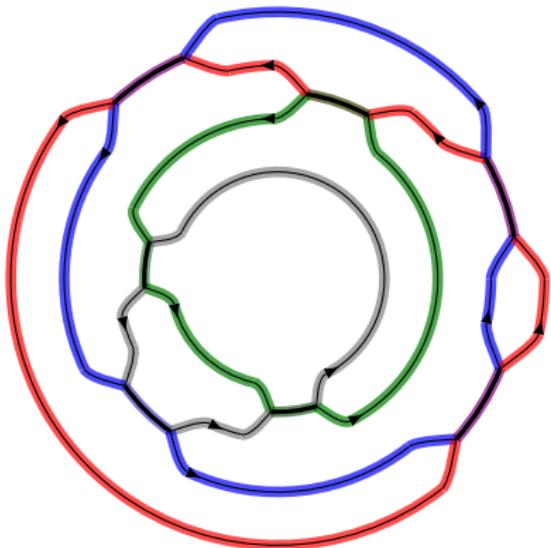


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Multiplication μ on $D(\Gamma)$.

Vinyl graph \rightsquigarrow vector space

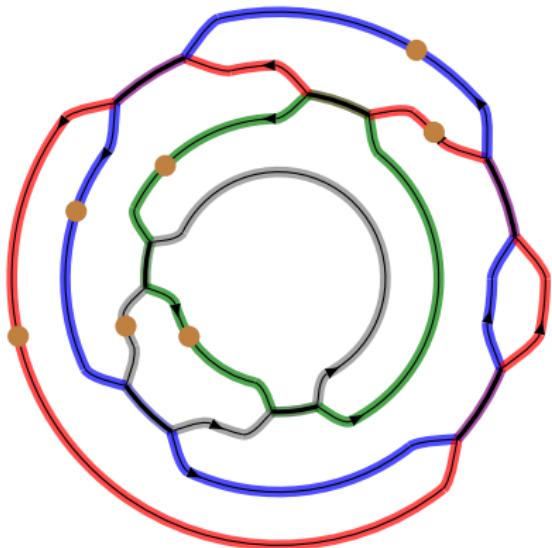


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Multiplication μ on $D(\Gamma)$.
Coloring $c = (\textcolor{red}{C}_1, \textcolor{blue}{C}_2, \dots, \textcolor{green}{C}_k)$
 $\Gamma = \bigsqcup_i C_i$.

Vinyl graph \rightsquigarrow vector space



Vinyl graph $\Gamma \circlearrowleft$ index k .
Dot configuration d ●.

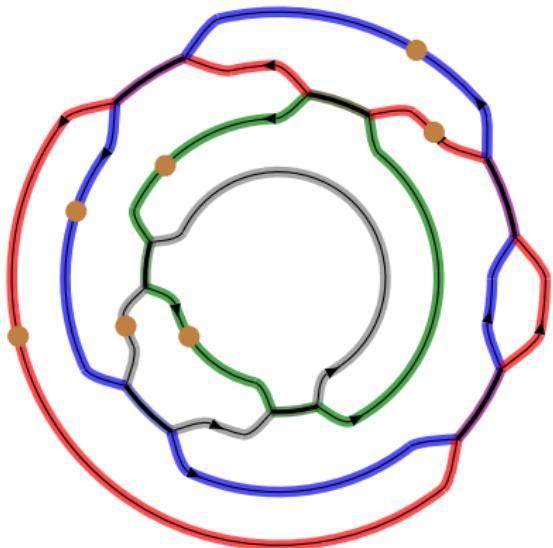
$$D(\Gamma) = \bigoplus_d \mathbb{Q}.$$

Multiplication μ on $D(\Gamma)$.
Coloring $c = (\textcolor{red}{C}_1, \textcolor{blue}{C}_2, \dots, \textcolor{green}{C}_k)$
 $\Gamma = \bigsqcup_i C_i$.

$$\tau(d, c) = \frac{\prod_{i=1}^k X_i^{\#\{\bullet \text{ in } C_i\}}}{\prod_{i < j} (X_i - X_j)}$$

C_i C_j

Vinyl graph \rightsquigarrow vector space



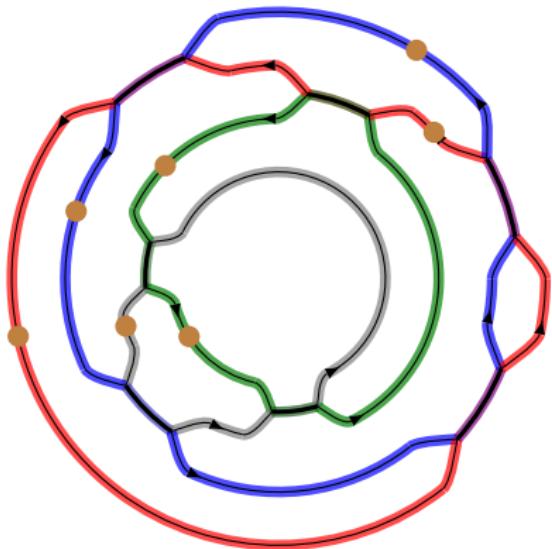
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Dot configuration d ●.

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Vinyl graph \rightsquigarrow vector space



$$\tau(d, c) = \frac{\prod_{i=1}^k X_i^{\#\{ \bullet \text{ in } C_i \}}}{\prod_{\substack{i,j \\ c_i < c_j}} (X_i - X_j)}$$

Vinyl graph $\Gamma \circlearrowleft$ index k .
Dot configuration d \bullet .

$$D(\Gamma) = \bigoplus_d \mathbb{Q}.$$

Multiplication μ on $D(\Gamma)$.
Coloring $c = (\textcolor{red}{C}_1, \textcolor{blue}{C}_2, \dots, \textcolor{green}{C}_k)$
 $\Gamma = \bigsqcup_i C_i$.

$$\tau(d) = \sum_{c \in \text{col}(\Gamma)} \tau(d, c)$$

Vinyl graph \rightsquigarrow vector space

Proposition (R.-Wagner, '17)

For any dot configuration d , $\tau(d) \in \mathbb{Q}[X_1, \dots, X_k]^{S_k}$.

$$\mathcal{S}_1(\Gamma) = D(\Gamma) / \ker(\tau \circ \mu(_, _)_{X_* \rightarrow 0}).$$

Theorem (R.-Wagner, '18)

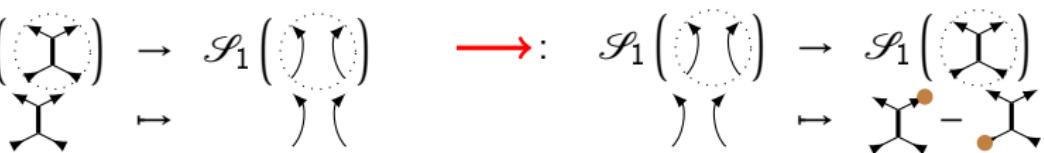
For any vinyl graph Γ , $\dim_q \mathcal{S}_1(\Gamma) = [2]^{\#V(\Gamma)/2}$.

linear maps

$$\longrightarrow : \quad \mathcal{S}_1 \left(\begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right) \quad \rightarrow \quad \mathcal{S}_1 \left(\begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right)$$

$$\longrightarrow : \quad \mathcal{S}_1 \left(\begin{array}{c} \text{dotted circle} \\ \text{with two arrows} \end{array} \right) \quad \rightarrow \quad \mathcal{S}_1 \left(\begin{array}{c} \text{dotted circle} \\ \text{with three arrows} \end{array} \right)$$

linear maps

$$\longrightarrow: \mathcal{S}_1\left(\begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array}\right) \rightarrow \mathcal{S}_1\left(\begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array}\right) \quad \longrightarrow: \mathcal{S}_1\left(\begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array}\right) \rightarrow \mathcal{S}_1\left(\begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array}\right)$$


Theorem (R.-Wagner '18)

1. *These maps in the flattening of the hypercube produces a chain complex. Its homology, denoted $H_{\mathfrak{gl}_1}$ is a link invariant which categorifies P_1 .*
2. *There is a spectral sequence from the triply graded homology to $H_{\mathfrak{gl}_1}$.*

Examples

1. Trefoil: the Poincaré polynomial is $1 + q^{-4}(t + t^2)$.
2. Hopf link: the Poincaré polynomial is $1 + q^2(1 + t)$.

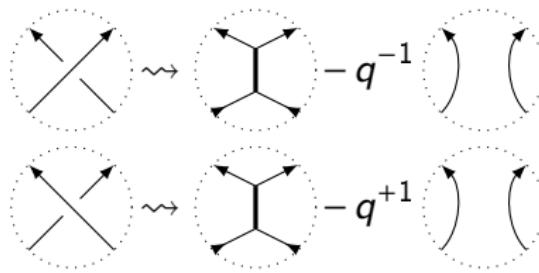
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Do I have time?

Alexander polynomial

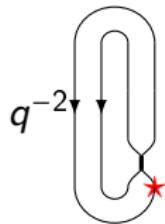
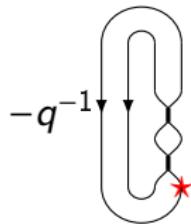
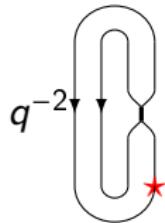
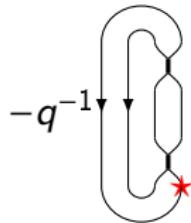
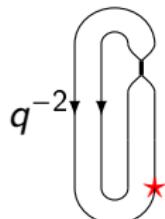
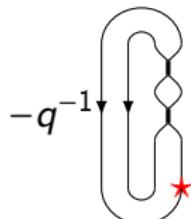
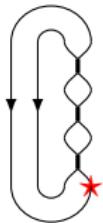
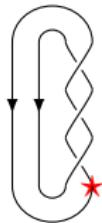
Marked (\star) braid closure $\rightsquigarrow \mathbb{Z}[q, q^{-1}]$ -lin. comb. of marked plane graphs



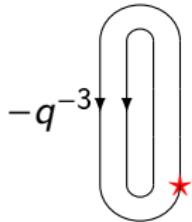
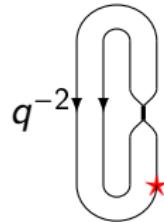
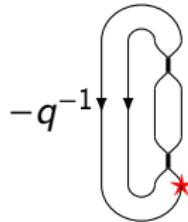
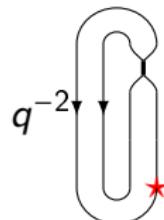
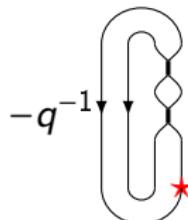
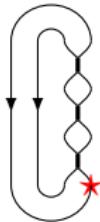
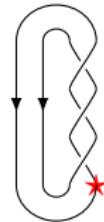
Marked plane graph \rightsquigarrow element of $\mathbb{N}[q, q^{-1}]$

$\Gamma \rightsquigarrow$ complicated (comes from $U_q(\mathfrak{gl}(1|1))\text{-mod}$).

Alexander polynomial – Example



Alexander polynomial – Example



$$q^2 - 1 + q^{-2} =$$

$$[2]^2$$

$$-3q^{-1}[2]$$

$$+3q^{-2}$$

$$0$$

\mathfrak{gl}_0 -homology

Same hypercube with a different functor.

\mathfrak{gl}_0 -homology

Same hypercube with a different functor.

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→ ↵ induced by \mathcal{S}_1 .

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$\longrightarrow \rightsquigarrow$ induced by \mathcal{S}_1 .

Theorem (R.-Wagner, '19)

For any right-marked vinyl graph Γ_\star , $\dim_q \mathcal{S}'_0(\Gamma_\star)$ is the expected graded dimension.

Theorem (R.-Wagner '19)

1. *The flattening of the hypercube with \mathcal{S}'_0 produces a chain complex. Its homology, denoted $H_{\mathfrak{gl}_0}$, is a knot invariant which categorifies the Alexander polynomial.*
2. *There is a spectral sequence from the reduced triply graded homology to $H_{\mathfrak{gl}_0}$.*

Thank you !!