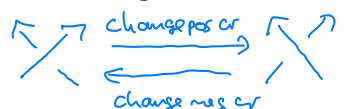


Unknotting & cobordism distances (joint w/ P. Feller)

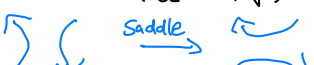
Def: K, J knots (up to isotopy)

metric $d_{bu}(K, J) := \min \{n \mid K \rightsquigarrow J \text{ by changing at most } n \text{ pos cr. \& at most } n \text{ neg cr.}\}$



metric $d_{3d}(K, J) := \min \{g(\Sigma) \mid \Sigma \text{ 3d-cobordism } K \rightarrow J\}$
ie $\Sigma^2 \subset S^3$, $\partial \Sigma = K^{rev} \cup J$

metric $d_{1e}(K, J) := \min \{n \mid K \rightsquigarrow J \text{ by } 2n \text{ Saddle moves}\}$



pseudometric $d_{ee}(K, J) := \min \{g(\mathbb{F}) \mid \mathbb{F} \text{ epiepi cobordism } K \rightarrow J\}$

cobordism:

$\mathbb{F}^2 \subset S^3 \times [0, 1]$
oriented, smooth
 $\partial \mathbb{F} = \mathbb{F} \cap S^3 \times \{0, 1\}$
 $= K^{rev} \times \{0\} \cup J \times \{1\}$

epiepi:

surjective induced maps

$\pi_1(X_{K^{rev}} = S^3 \setminus K^{rev})$

$\hookrightarrow \pi_1(X_{\mathbb{F}})$

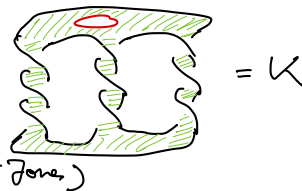
$\pi_1(X_J) \xrightarrow{\sim}$

Prop.: $d_{bu} \geq d_{3d} = d_{1e} \geq d_{ee}$ (in any 3-mfld)

Thm: $A := \{\text{knots with } A(\text{ex pol } 1)\} \cdot \forall K: \text{ (in any 3-mfld)}$

$$d_{bu}(K, A) = d_{3d}(K, A) = d_{1e}(K, A) = d_{ee}(K, A)$$

Example for $d_{bu} > d_{3d}$:



$u(K) = 3$ (Owens '05, using Heegaard-Floer & Jones)

$\Rightarrow d_{bu}(K, \text{unknot}) \in \{2, 3\}$

But $d_{3d}(K, \text{unknot}) \leq g(K) = 1$
 \uparrow for all knots K

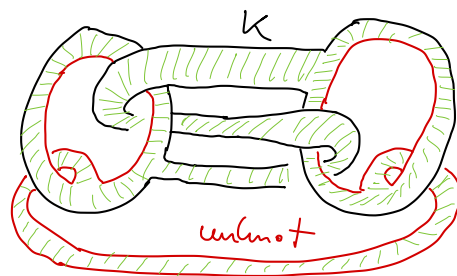
Conjecture: d_{bu}, d_{3d} not quasi-isometric

Example for $g(K) > d_{3d}(K, \text{unknot})$:

$K = \text{Trefoil} \# -\text{Trefoil}$

$g(K) = 2$

$d_{3d}(K, \text{unknot}) = 1$



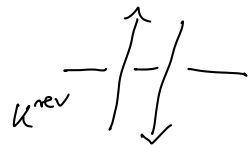
Plan of talk:

- ① Proof prop
- ② d_{ee}
- ③ Sketch proof of Thm
- ④ Further results

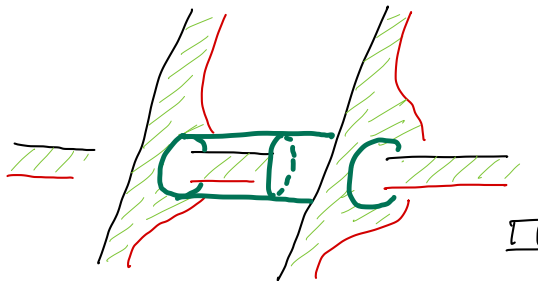
Pf $d_{bu} \geq d_{3d}$: $d_{bu}(K, J) = n \Rightarrow K \rightsquigarrow J$ by changing n pos & n neg cr. ($J \rightsquigarrow S$)

May arrange all change to happen simultaneously.

May arrange changes in pairs of one neg & one pos change each that are next to each other:

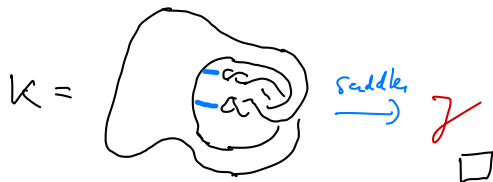


3D-cobordism $K \rightsquigarrow J$ of genus n :



Pf of $d_{3d} = d_{rel}$: " \geq " Σ 3d-cobordism $K \rightsquigarrow J$

$\Sigma =$ twisted annulus with $\partial = K^{rev} \cup K \cup 2g(\Sigma)$ 1-handles \rightsquigarrow saddle



" \geq ": May arrange saddle to happen simultaneously.
 $\Sigma :=$ untwisted annulus \cup 1-handle for each saddle move \square

Pf $d_{rel} \geq d_{ee}$: $2n$ saddle moves $K \rightsquigarrow J$ give cobordisms $K \rightarrow J$ and $J \rightarrow K$ with only 1-handles

Handle attached to \mathbb{F}

0

1

2

Handle attached to $X_{\mathbb{F}}$

1

2

3

Effect on $\pi_1(X_{\mathbb{F}})$

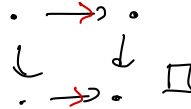
new generator

new relation

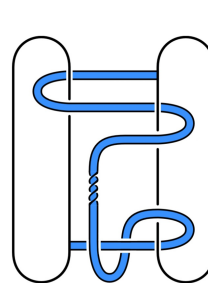
no effect \square

Pf of triangle inequality for d_{ee} :

use Seifert van Kampen,



Example for $K \neq J$, $d_{ee}(K, J) = 0$ ($< d_{bu}(K, J)$)



1-handle

0-handle

$\circ \leftarrow \circ \leftarrow \circ = K$

genus-0-cobordism $\mathbb{F} K \rightarrow J$

$\pi_1(X_J) \twoheadrightarrow \pi_1(X_{\mathbb{F}})$

(no 0-handles)

$\pi_1(X_K) \twoheadrightarrow \pi_1(X_{\mathbb{F}})$

(choice of 1-handle)

(in fact $\mathbb{Z} \cong \pi_1(X_K) \xrightarrow{\cong} \pi_1(X_{\mathbb{F}})$)

(Meier-Livingston)

11_{n42}

Proof Sketch of Thm: To show $\text{dee}(K, \mathcal{A}) \geq \text{d}_{\text{bu}}(K, \mathcal{A})$.

① $\text{dee}(K, \mathcal{A}) = g_{\mathbb{Z}}(K) =$ "Z-slice genus"
 $\min \{g(\mathbb{F}) \mid \mathbb{F}^2 \subset B^4 \text{ Z-slice surface, ie}$
 oriented, locally flat, $\partial \mathbb{F} = \mathbb{F} \cap S^3 = K, \pi_1(X_{\mathbb{F}}) \cong \mathbb{Z}\}$

Given epim ϕ from K to \mathbb{Z} , \mathbb{Z} Alex pol 1, take
 D \mathbb{Z} -slice disk for \mathbb{F} (Freedman-Quinn), $\mathbb{F} = G \cup D$.

② $\exists W^4$, $\partial W = \emptyset$ framed surgery of K and further
 properties.

③ W gives Presentation matrix for Blanchfield pairing of K .

④ \leadsto generalized crossing change from K to an
 Alex-pol - 1 knot

⑤ generalized cr change may be replaced
 by usual ones without changing the
 S -eq. class of the target. \square

(in ③-⑤, using Borodzik-Friedl).

Corollary $g(K) = 1$

$\Rightarrow K \leadsto$ knot with Alex pol 1 by
 two crossing change.

