

# Genus bounds for non-semisimple quantum invariants

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(joint work with Roland van der Veen)

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## Quantum invariants of knots:

- Come from the representation theory of quantum groups  $U_q(\mathfrak{g})$  (Jones, Witten, Reshetikhin-Turaev, 80-90s).

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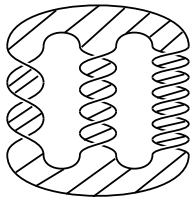
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Many conjectures about (colored) Jones. But what about ADO?

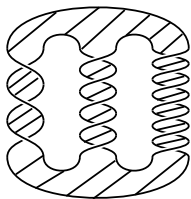
**Seifert genus:**  $g(K) := \min\{g(S) \mid \text{orbble, connected } S \subset S^3, \partial S = K\}$ .



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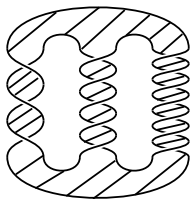
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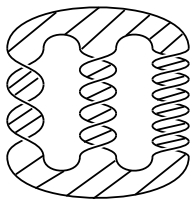
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- Bound for 2-loop expansion of colored Jones (Ohtsuki '06).

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## Theorem (LN-van der Veen, '22)

For any knot  $K \subset S^3$

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(LN-vdV, '22) If  $H = \text{Borel of } \mathfrak{u}_{\zeta_p}(\mathfrak{sl}_2)$  then  $P_H = \text{ADO}_p$  invariant so

$$\deg \text{ADO}_p(K, t) \leq 2g(K)(p - 1).$$

# Outline

- Drinfeld doubles  $D(H)$  of Hopf algebras.
- Universal invariant  $Z_H(K) \in D(H)$  of knots.
- Twist the above story (by  $\mathbb{N}$ -degree) to get knot polynomials.
- Proof of thm.



## Hopf algebra:

- Algebra  $(H, m, 1)$  over  $\mathbb{K}$ .
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①  $H_q = \langle K^{\pm 1}, E \mid KE = q^2 EK \rangle$  with

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- 2 If  $q = \zeta_p = e^{\pi i/p}$ , the quotient  $B_{\zeta_p} = H_q / (E^p = 0, K^{2p} = 1)$  is a Hopf algebra.

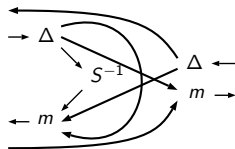
The **Drinfeld double** of a Hopf algebra  $H$  is  $D(H) := H^* \otimes H$  as a coalgebra with multiplication

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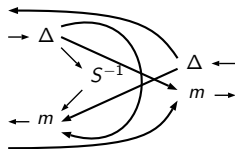
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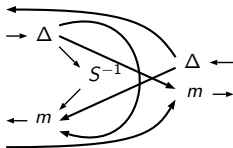


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Why is  $D(H)$  interesting? Because  $R \in D(H)^{\otimes 2}$  defined by

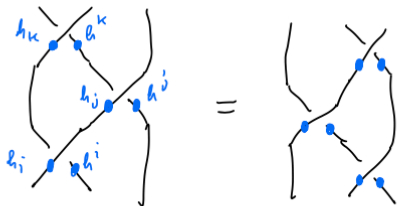
$$R = \sum (\epsilon \otimes h_i) \otimes (h^i \otimes 1) = \sum h_i \otimes h^i \in D(H)^{\otimes 2}$$

where  $h_i$  is a basis of  $H$  and  $h^i \in H^*$  is the dual basis, satisfies the **Yang-Baxter eqtn.**



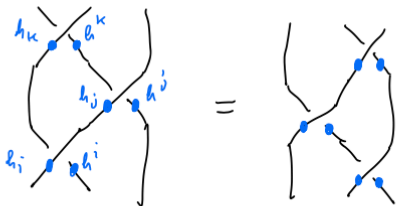
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We will also suppose  $D(H)$  is “ribbon”. Thus, there is  $g \in D(H)$  such that  $S^2(x) = gxg^{-1}$  and  $\Delta(g) = g \otimes g$

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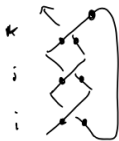


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**Step 2:** Follow the orientation of each component and multiply in  $D(H)$ .



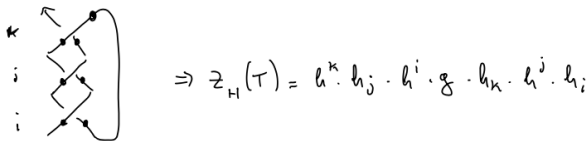
$$\Rightarrow z_H(\tau) = h^k \cdot h_j \cdot h^i \cdot g \cdot h_k \cdot h^j \cdot h_i$$

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The result is  $Z_H(T) \in D(H)^{\otimes m}$  ( $m$  = number of cmpnts. of  $T$ ).

If you prefer categories:

$X \in \text{Rep } D(H)$  iff  $X \in \text{Rep}(H)$  together with a collection of **half-braidings**

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The invariant  $Z_H(T)$  is “universal” (for  $\text{Rep } D(H)$  quantum invariants) in that

$$\text{tr}_V(Z_H(T)) = RT_V(\widehat{T})$$

for  $V \in \text{Rep } D(H)$ .

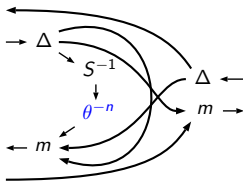
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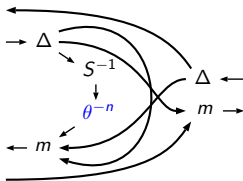
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This is NOT a Hopf algebra (if  $n \neq 0$ ) but there is a “coproduct”

$$\Delta_{n,m} : D_{n+m} \rightarrow D_n \otimes D_m$$

and antipode  $S_n : D_n \rightarrow D_{-n}$ , satisfying graded versions of Hopf axioms.

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- $\text{Aut}(H)$  is finite for f.d. **semisimple** Hopf algebras (Radford).
- More generally: finite semisimple tensor categories can only carry finite group actions! (Etingof-Gelaki-Nikshych '05).

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This is the **relative Drinfeld center** of  $\text{Rep}(H) \rtimes \mathbb{Z}$ .

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But here  $K^{2p} = 1$ . If we set  $K' = t^{n/2}K, E' = t^{n/2}E$  then get

$$[E', F] = \frac{K' - k'}{q - q^{-1}}$$

and  $(K')^{2p} = t^{pn}$ . So the twisted Drinfeld double is related to semi-restricted **unrolled**  $U_{\zeta_p}(\mathfrak{sl}_2)$ .



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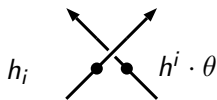
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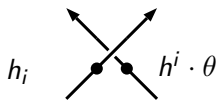


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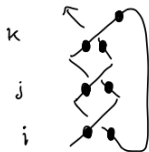
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Note: the above lives in a semidirect product  $D_1(H) \ltimes \mathbb{Z}$ . Also: don't forget  $g^{\pm 1}$  on right caps/cups.

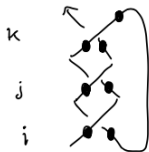
**Step 2:** Multiply in  $D_1(H) \rtimes \mathbb{Z}$  the elements encountered while following the orientation of  $T$ . This results in an element  $Z_H^\theta(T) \in H^* \otimes H[t^{\pm 1}]$ .

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**Step 3:** If  $T$  is a  $(1, 1)$ -tangle whose closure is  $K$  then

$$P_H(K, t) = \epsilon_{D(H)}(Z_H^\theta(T)) \in \mathbb{K}[t^{\pm 1}].$$

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Let  $S$  be a genus 1 Seifert surface of  $K$ . We will show:

$$\deg Z_H^\theta(K) \leq 2d(H).$$

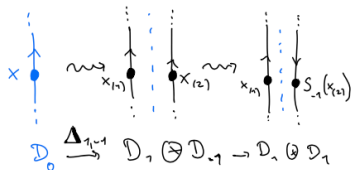
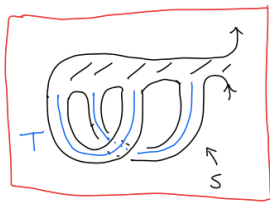


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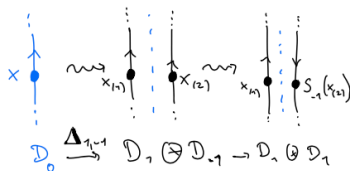
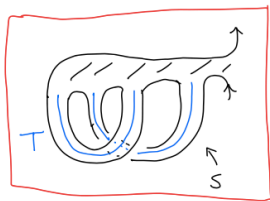


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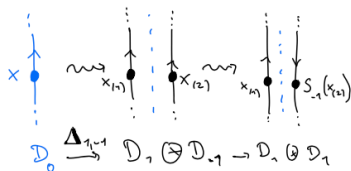
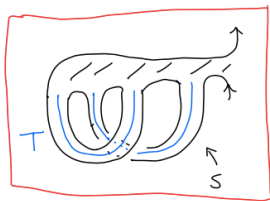
Then the invariant of each band is of the form  $\Delta_{1,-1}(x)$ ,  $x \in D_0 = D(H)$ !  
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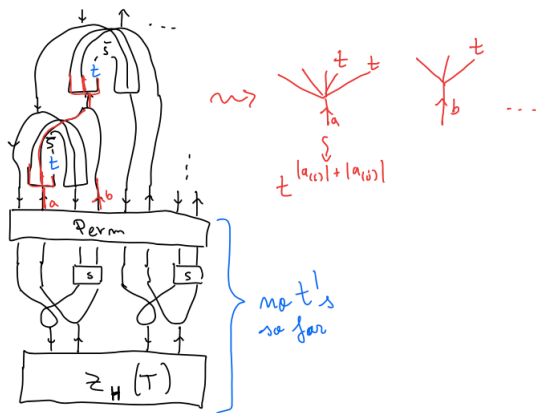
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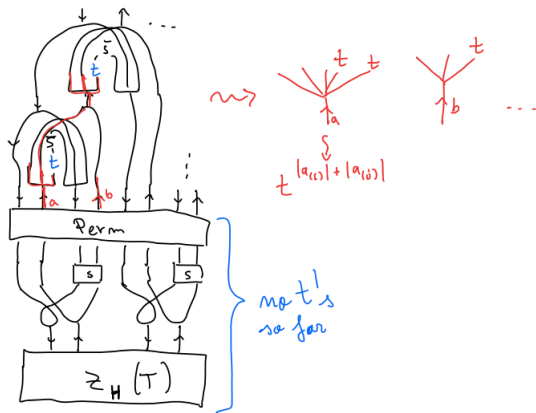
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But  $|a_{(i_1)}| + |a_{(i_2)}| + \dots \leq |a| \leq d(H)$  for any  $a \in H$ . Thus each  $H$ -leg of  $T$  contributes a  $t$ -power  $\leq d(H)$ .

# Thanks!