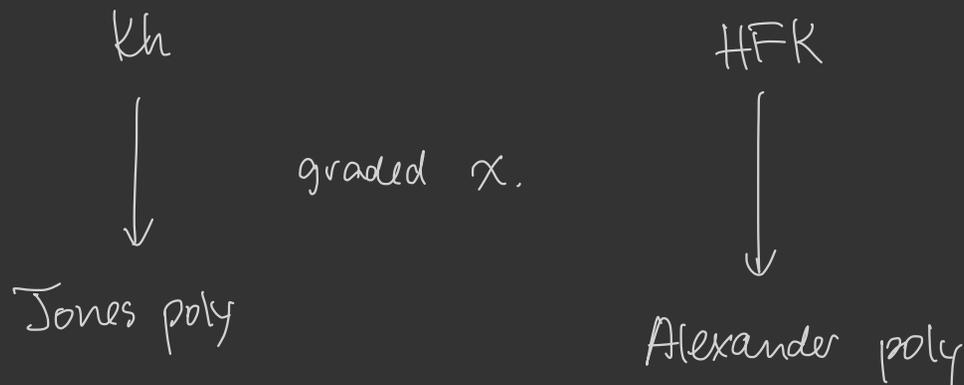


# Annular link Floer homology and $gl(1|1)$

(with A. Manion and M. Wong)

# Annular link Floer homology and $gl(1|1)$

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① A TQFT approach to link polynomials.

. Reshetikhin, Turaev, Viro  
 Δ: Murakami, Rozansky-Satur, Reshetikhin

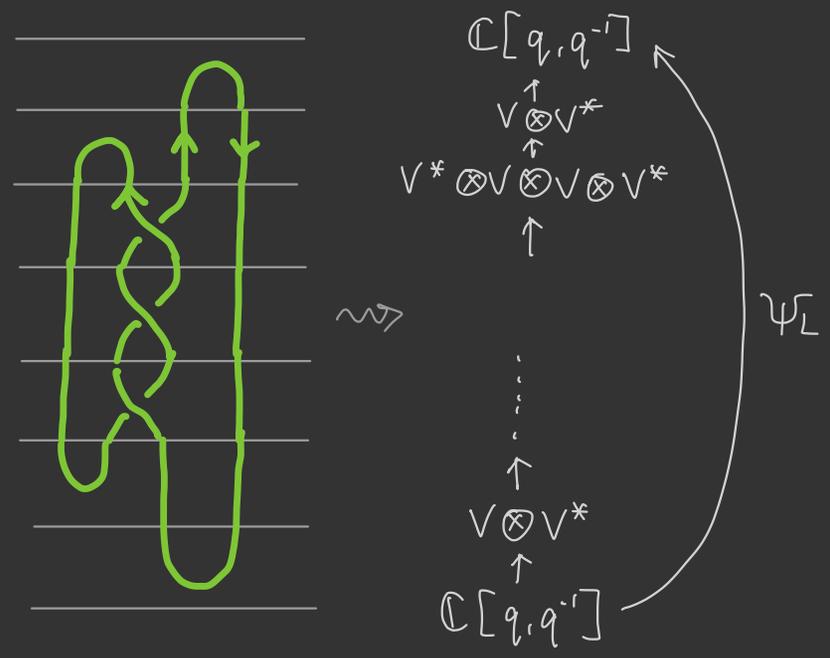
Idea:

- fix a quantum group  $U_q(\mathfrak{g})$  and a representation  $V$  of  $U_q(\mathfrak{g})$ .
- Decompose link into elem. pieces
- Associate  $\otimes$  of  $V, V^*$  to cuts.  $\uparrow = V$   $\downarrow = V^*$   
 • maps of vs. to tangles

Compose maps, get

$$\Psi_L : \mathbb{C}[q, q^{-1}] \rightarrow \mathbb{C}[q, q^{-1}]$$

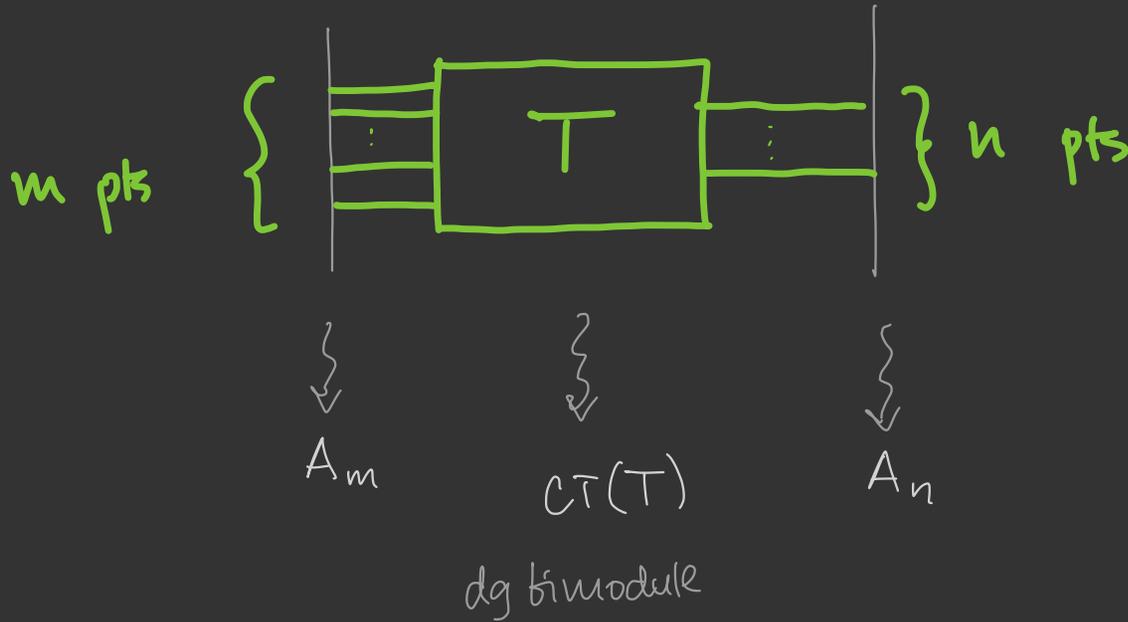
$$\underset{1}{\cup} \mapsto \underset{\Psi_L(1)}{\cup} \text{ -link invt.}$$



Ex:	Jones poly	$q = \mathfrak{sl}_2$	2-dim vector rep.	$U$
	Alexander poly	$q = \mathfrak{gl}_1/\mathfrak{sl}_1$		$V$ (sort of...)

Goal: "Categorify" this construction

# Tangle Floer homology (P. Vértesi '14)



Thm: (P. Vértesi)

①  $CT(T)$  is an invariant of  $T$ .

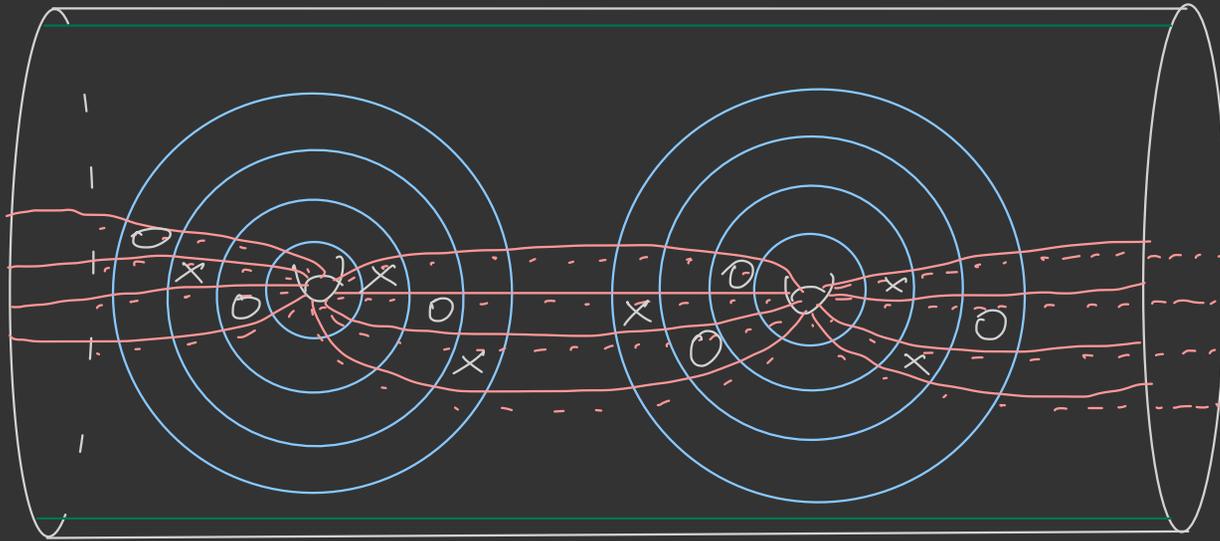
②   $CT(T_1) \overset{\sim}{\otimes}_{A_n} CT(T_2) \simeq CT(T_1 \circ T_2)$

③  $CT(L) \simeq HFL(L) \otimes (\mathbb{F}_2 \oplus \mathbb{F}_2[1])$ .

$CT(\tau)$

Given a tangle  $T$ , represent by a multipointed bordered Heegaard diagram

$$\Sigma(\alpha, \beta, X, \mathcal{O})$$



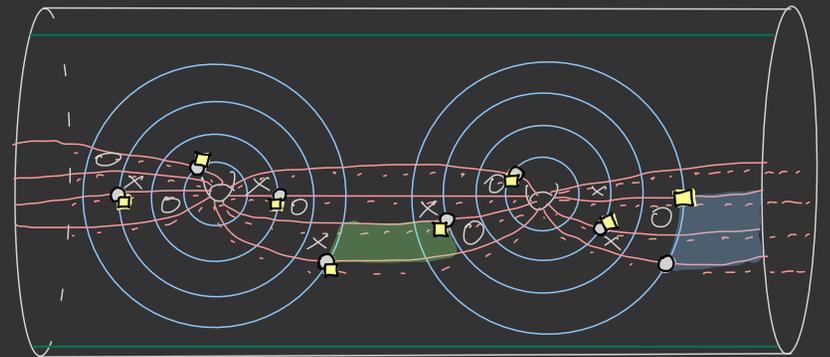
Count hol. curves in  $\Sigma \times I \times \mathbb{R}$  with certain asymptotics.

'06 Lipshitz - "Cyl. reform. of HF"

Ingredients:

'08 Lipshitz-Ozsvath-Thurston  
"Bordered HF"

Record  $\partial\Sigma$  data of curves as algebra action.



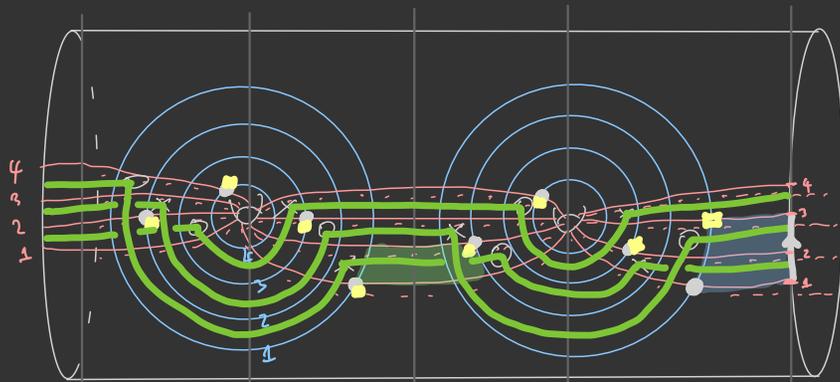
$x = \bullet$

$y = \blacksquare$

$dx = \bullet \dots$

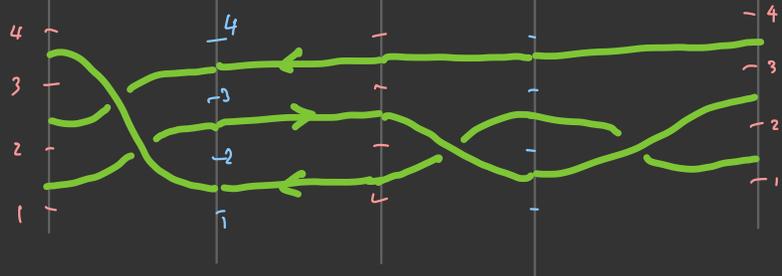
$x \cdot f = y$

$CT(\mathcal{T})$



$\mathcal{T}$ : connect  $\mathbb{O}$  to  $\mathbb{X}$  away from  $\beta$ .  
 "above  $\Sigma$ ".  
 connect  $\mathbb{X}$  to  $\mathbb{O}$  away from  $\alpha$ .  
 (and to  $\partial\Sigma \dots$ )  
 "below  $\Sigma$ ".

$dx = \dots$        $x \cdot \begin{matrix} \vdots \\ \vdots \\ \vdots \\ \vdots \end{matrix} = y$

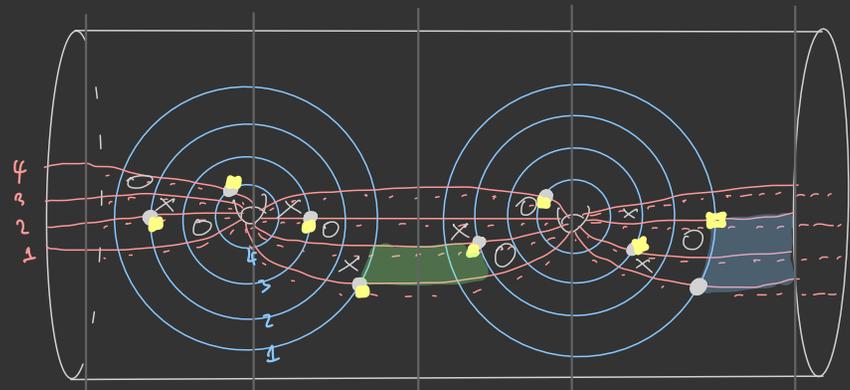


$A_m: \boxed{a} \cdot \boxed{b} = \boxed{ab} \text{ / rels}$

$\partial \boxed{a} = \sum_{\substack{a' \text{ smooth} \\ \text{one x-ing} \\ \text{in } a}} \boxed{a'} \text{ / rels}$

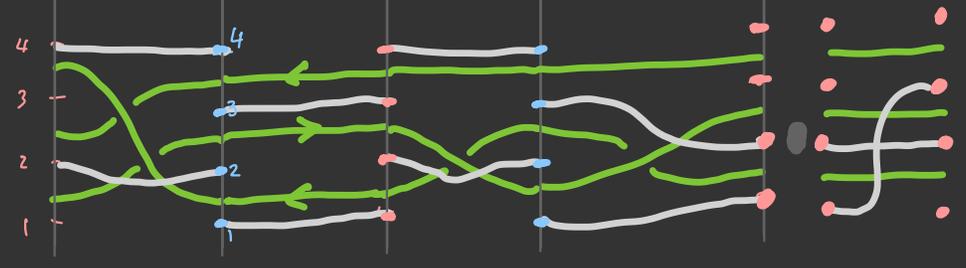
rels:  
 $\alpha = 0$   
 $\text{green } \alpha = 0$   
 $\text{red } \alpha = 0$

CFT(T)

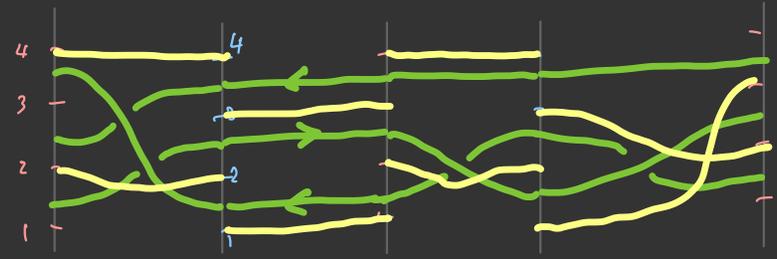


$dx = \dots$

$x \cdot \begin{matrix} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{matrix} = y$



||



CFT  $\cong U_q(\mathfrak{gl}_{1|1})$

$$V_{p_i} := \begin{cases} V & \text{if } p_i = + \\ V^* & \text{if } p_i = - \end{cases}$$

Thm: (Ellis-P. Vertesi '15)

CFT categorifies  $RT_{\mathfrak{gl}_{1|1}}$

$$V_P := V_{p_1} \otimes V_{p_2} \otimes \dots \otimes V_{p_n}$$

basis for  $V_P \otimes L(\lambda_P)$



$\{ [A(P) e_s] \mid s \in \{0, 1, \dots, |P|\} \}$

More concretely:

①  $K_0(A(P))$  - free  $\mathbb{Z}[q, q^{-1}]$ -module w/ basis

$$\cong K_0(A(P)) \otimes_{\mathbb{Z}[q^{\pm 1}]} \mathbb{C}(q) \cong V_P \otimes L(\lambda_P)$$

$$\textcircled{2} \quad A(P) \text{-Mod} \xrightarrow{CT(T) \otimes -} A(P') \text{-Mod}$$

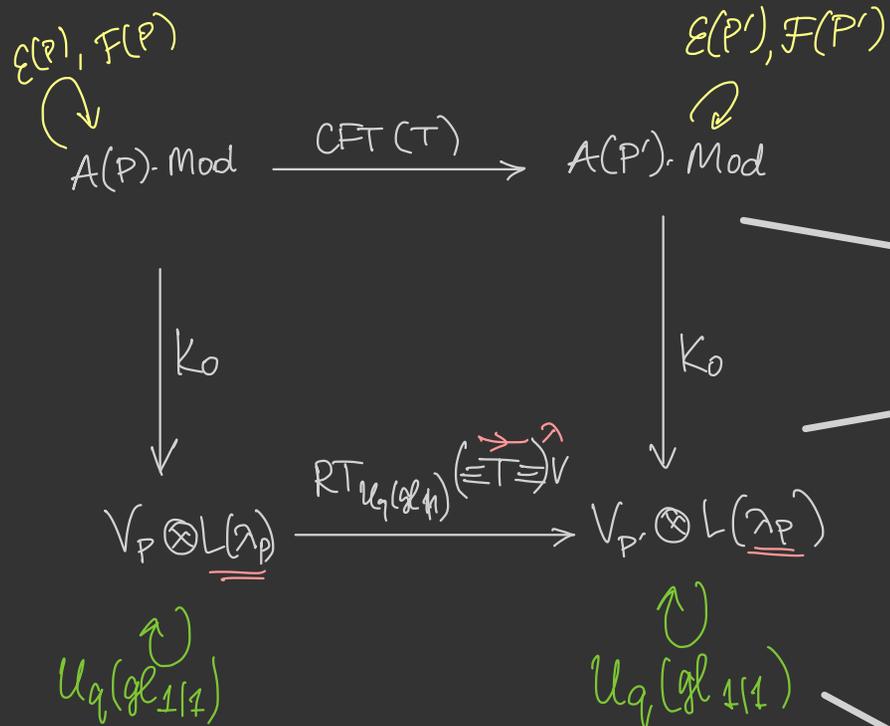
$$K_0 \quad \begin{array}{ccc} \downarrow & & \downarrow \\ V_P \otimes L(\lambda_m) & \xrightarrow{RT_{U_q(\mathfrak{gl}_{1|1})}(\overset{\lambda_p}{T})} & V_{P'} \otimes L(\lambda_n) \\ \downarrow & & \downarrow \end{array}$$

③ We construct functors on  $A(P)$ -Mod corresponding to the action of  $E, F \in U_q(\mathfrak{gl}_{1|1})$  on  $V_P \otimes L(\lambda_P)$ .

Recall:  $U_q(\mathfrak{gl}_{1|1}) = \langle E, F, \mathcal{Q}^{(a,b)} \mid (a,b) \in \mathbb{Z}^2 \rangle / \sim$

We construct:

$\mathcal{E}, \mathcal{F}$  bimodules (which have Heegaard diagrammatic descriptions) s.t.



$$\mathcal{E} \tilde{\otimes}_{CFT(T)} \simeq CFT(T) \tilde{\otimes} \mathcal{E}$$

$$\mathcal{F} \tilde{\otimes}_{CFT(T)} \simeq CFT(T) \tilde{\otimes} \mathcal{F}$$

$$\mathcal{E} \tilde{\otimes} \mathcal{E} \simeq 0 \quad \mathcal{F} \tilde{\otimes} \mathcal{F} \simeq 0$$

$$\mathcal{E} \tilde{\otimes} \mathcal{F} \xrightarrow{f} A \longrightarrow \mathcal{F} \tilde{\otimes} \mathcal{E} \longrightarrow \mathcal{E} \tilde{\otimes} \mathcal{F}[1]$$

$$E RT(\vec{T}) = RT(\vec{T}) E$$

$$F RT(\vec{T}) = RT(\vec{T}) F$$

$$E^2 = 0 \quad F^2 = 0$$

$$EF + FE = 1$$

Question:  $\text{HFL}(K) \cong \mathfrak{sl}_2$  ?

Answer: In the annular setting, yes!

(cf. Grigsby - A. Licata - Wehrli for  $\text{SKh}(\hat{T})$  &  $\mathfrak{sl}_2$ )

# Annularization

$\text{Ob}(\mathcal{C}) = \text{DGAs}$

2-category  $\mathcal{C}$ : 1- $\text{Mor}_{\mathcal{C}}$ : bimodules

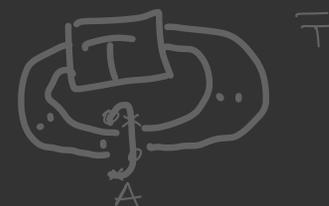
2- $\text{Mor}_{\mathcal{C}}$ : bimodule homomorphisms

$\text{CH}_{\mathbb{X}}$ : 1- $\text{Mor}(A(P), A(P)) \ni M \mapsto \text{CH}_{\mathbb{X}} M$  - chain cx.

2- $\text{Mor}(A^M_A, A^N_A) \ni f \mapsto \text{CH}_{\mathbb{X}}(f)$

Thm (P-Véteszi '15)

$$\text{HH}_{\mathbb{X}}(\text{CT}(\mathcal{T})) \cong \text{AHFL}(\bar{\mathcal{T}}) = \text{HFL}(\hat{\mathcal{T}} \sqcup A)$$



Thm (Manion-P.-Wong, in progress)

A: Bimods  $E, F \rightsquigarrow e, f \xrightarrow{\text{chain maps}} \widetilde{\text{CFL}}(\hat{\mathcal{T}} \sqcup A)$  st.

①  $e^2 = 0 = f^2$

②  $ef + fe = \mathbb{I}$

③  $e, f$  commute with candidate tangle cobordism maps. !!

Pf/Construction:

Idea: Use a construction on bicategories  $\text{hTr}(\mathcal{C})$

B: The actions  $e, f \xrightarrow{\text{chain maps}} \widetilde{\text{CFL}}(\hat{\mathcal{T}} \sqcup A)$  correspond to "combinatorial basepoint actions" by 2 of the 4 basepoints for  $A$ .

# Horizontal trace

Def (Beliakova-Habiro-Lauda-Zivkovic '14)

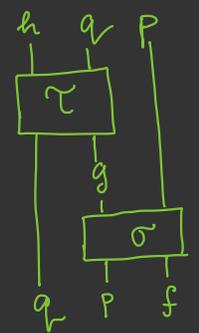
The **horizontal trace** of a bicategory  $\mathcal{C}$  is the category  $\mathbf{hTr}(\mathcal{C})$  with

$\mathbf{Ob}(\mathbf{hTr}(\mathcal{C}))$ : 1-endomorphisms  $f: x \rightarrow x$ ,  $x \in \mathbf{Ob}(\mathcal{C})$

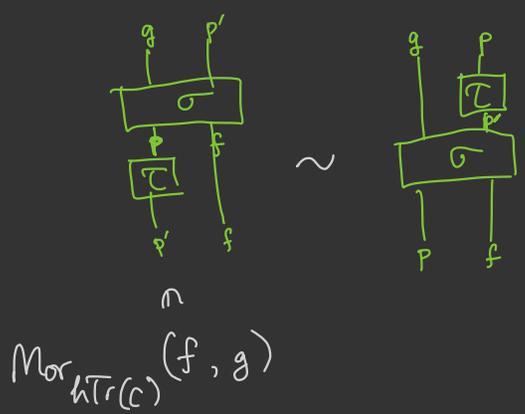
$\mathbf{Mor}_{\mathbf{hTr}(\mathcal{C})}(f: x \rightarrow x, g: y \rightarrow y)$ : classes  $[p, \sigma]$  s.t.  $p: x \rightarrow y$  - 1-morphism in  $\mathcal{C}$   
 $\sigma: p \circ f \Rightarrow g \circ p$  - 2-morphism in  $\mathcal{C}$

Graphically:  $[p, \sigma] =$

**Composition:**  $[q, \tau][p, \sigma] =$



**Identity morphism:**  $1_f = [1_x, 1_f]$



# From $hTr(C)$ to $Ch$

$$[f: x \rightarrow x] \in Ob(hTr(C)) \rightsquigarrow \text{loop } x \xrightarrow{f} x$$

$$[p, \sigma] \in Mor_{hTr(C)}(f, g) \rightsquigarrow \text{cylinder with } p, \sigma, f, g$$

What do  $\cup, \cap$  "mean"?

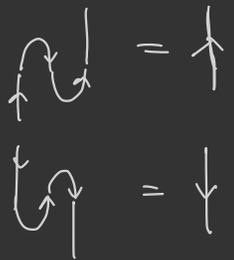
If  $p$  has a left dual  ${}^*p$ , then we can think of  $\cup$  as coev,  $\cap$  as ev.

${}^*f \in C(y, x)$  is a left-dual of  $f \in C(x, y)$  if we have

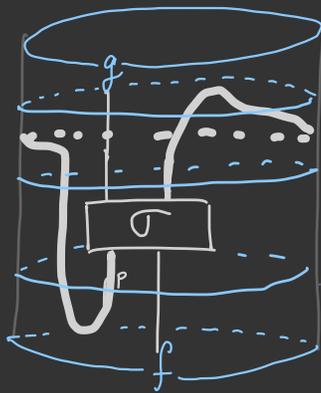
Graphically

2-morphisms

$$\begin{array}{l}
 f \circ {}^*f \xrightarrow{\varepsilon} id_y \\
 id_x \xrightarrow{\eta} {}^*f \circ f
 \end{array}
 \text{ s.t. }
 \begin{array}{l}
 f \xrightarrow{id_f \circ \eta} f \circ {}^*f \circ f \xrightarrow{\varepsilon \circ id_f} f = id_f \\
 {}^*f \xrightarrow{\eta \circ id_{{}^*f}} {}^*f \circ f \circ {}^*f \xrightarrow{id_{{}^*f} \circ \varepsilon} {}^*f = id_{{}^*f}
 \end{array}$$



If so



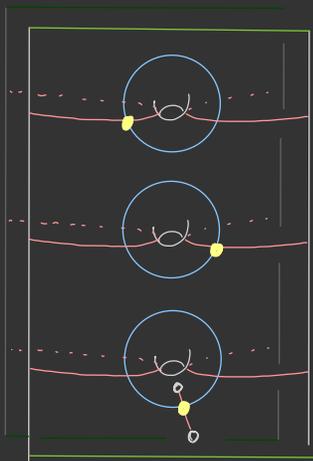
$$\begin{array}{c}
 Ch_x(g) \\
 \uparrow (id_g \otimes \varepsilon) \\
 Ch_x(g \circ {}^*p) \\
 \uparrow \sigma \\
 Ch_x({}^*p \circ g) \\
 \uparrow (id_{{}^*p} \otimes \sigma) \\
 Ch_x({}^*p \circ p \circ f) \\
 \uparrow (\eta \otimes id_f) \\
 Ch_x(f)
 \end{array}$$

Get a well-defd map  $T$  to  $Ch$

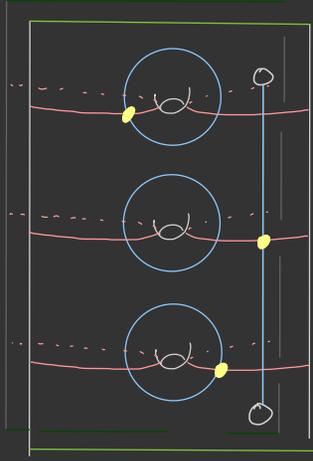
Turns out  $\mathcal{E}, \mathcal{F}$  have left adjoints!

Prop. (Manion-P.-Wong):  $\textcircled{1} \quad {}^* \mathcal{E} = \mathcal{F} \quad \textcircled{2} \quad {}^{***} \mathcal{F} = \mathcal{E}.$

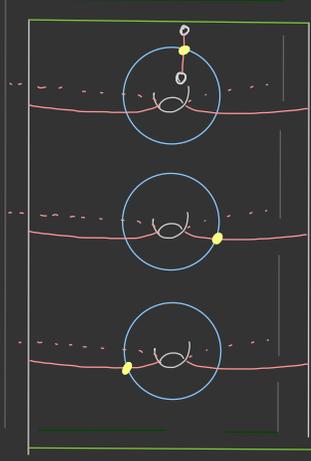
Pf: • We define explicitly bimodules:



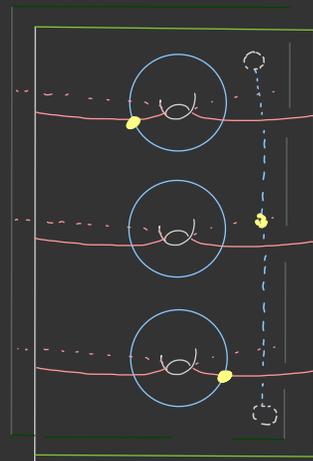
$\mathcal{E}$



$\mathcal{F} = {}^* \mathcal{E}$

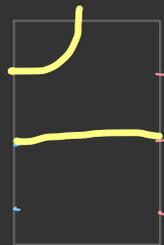
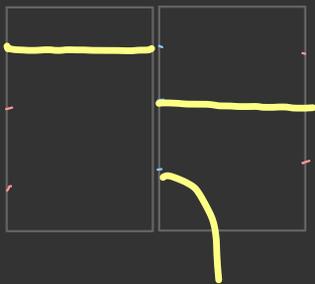


${}^* \mathcal{F}$



${}^{***} \mathcal{F}$

$\parallel$   
 ${}^{***} \mathcal{F}$



• We define maps  $\eta_{\mathcal{E}}, \varepsilon_{\mathcal{E}}$  diagrammatically. e.g.  $\eta_{\mathcal{E}}: \text{Id}_n \rightarrow \mathcal{F}\mathcal{E}$

... prove they satisfy adjunction rules.



Thm (Manion-P.-Wong):

$$E, F, \overset{*}{F}, \overset{**}{F} \rightsquigarrow e, f, \overset{*}{f}, e^* \hookrightarrow \text{CFL}(\hat{T} \sqcup A) \text{ s.t.}$$

chain maps

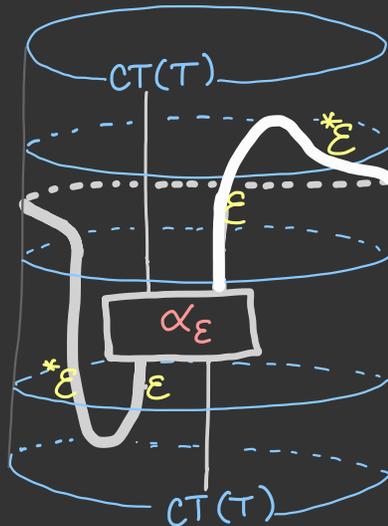
- ①  $e^2 = 0 = f^2$
- ②  $ef + fe = \mathbb{I}$
- ③  $e, f$  commute with candidate tangle cobordism maps.

Pf: Step 1:

We prove certain properties of the 2-morphisms related to  $E, F$ :

- ①  $E \tilde{\otimes} E \xrightarrow{\simeq} 0 \quad F \tilde{\otimes} F \xrightarrow{\simeq} 0$
- ②  $E \tilde{\otimes} F \rightarrow A \rightarrow F \tilde{\otimes} E \rightarrow E \tilde{\otimes} F[1]$
- ③  $E \tilde{\otimes} \text{CT}(T) \xrightarrow{\alpha_E} \text{CT}(T) \tilde{\otimes} E$   
 $F \tilde{\otimes} \text{CT}(T) \xrightarrow{\simeq} \text{CT}(T) \tilde{\otimes} F$

Step 2:



$$\begin{array}{c}
 \text{CH}_*(\text{CT}(T)) \simeq \text{CFL}(\hat{T} \sqcup A) \\
 \uparrow \\
 \text{CH}_*(\text{CT}(T) \tilde{\otimes} E \tilde{\otimes} \overset{*}{E}) \\
 \uparrow \\
 \text{CH}_*(\overset{*}{E} \tilde{\otimes} \text{CT}(T) \tilde{\otimes} E) \\
 \uparrow \\
 \text{CH}_*(\overset{*}{E} \tilde{\otimes} E \tilde{\otimes} \text{CT}(T)) \\
 \uparrow \\
 \text{CH}_*(\text{CT}(T)) \simeq \text{CFL}(\hat{T} \sqcup A)
 \end{array}
 \left. \vphantom{\begin{array}{c} \text{CH}_*(\text{CT}(T)) \\ \text{CH}_*(\text{CT}(T) \tilde{\otimes} E \tilde{\otimes} \overset{*}{E}) \\ \text{CH}_*(\overset{*}{E} \tilde{\otimes} \text{CT}(T) \tilde{\otimes} E) \\ \text{CH}_*(\overset{*}{E} \tilde{\otimes} E \tilde{\otimes} \text{CT}(T)) \end{array}} \right\} =: e$$

Thm (Manion-P.-Wong):

The actions  $e, f, f^*, e^* \subset \widehat{\text{CFL}}(\widehat{T} \sqcup A)$  correspond to the basepoint actions by the 4 basepoints for  $A$ .

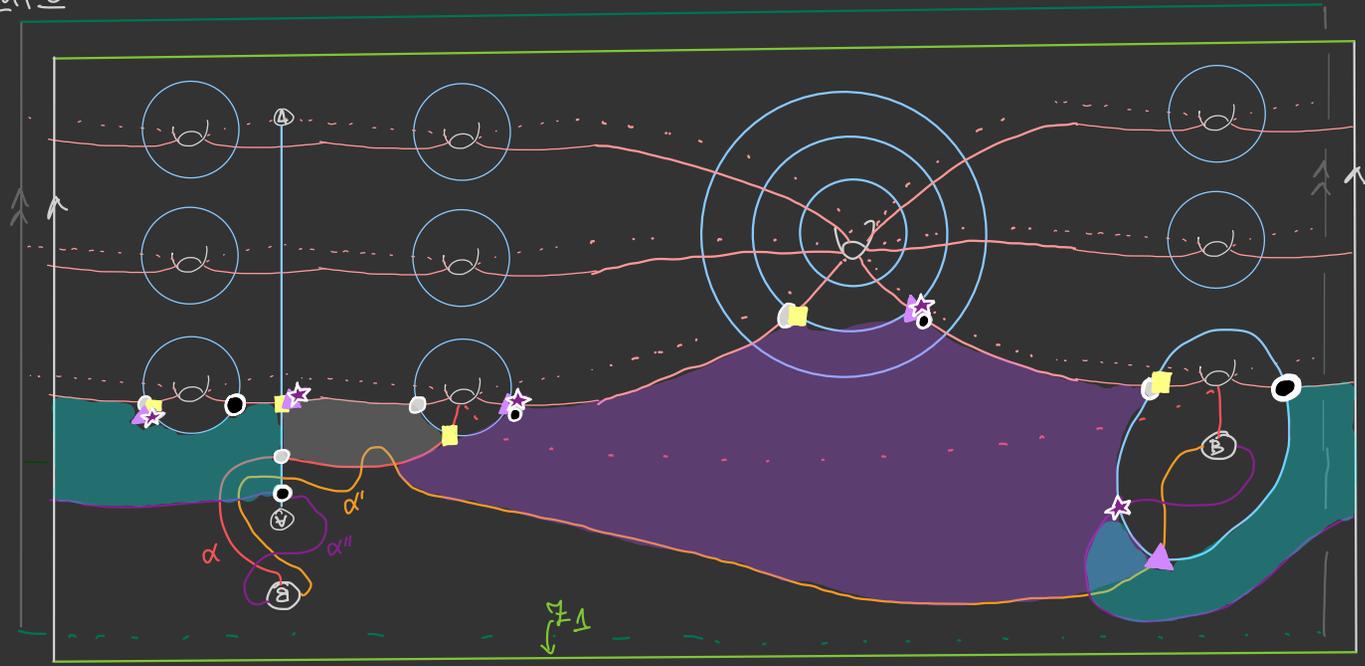
$$ef + fe = 1$$

$$\Downarrow$$

cf.  $\partial_2 \partial_w + \partial_w \partial_2 \sim \text{Id}$ ,  
e.g. Baldwin-Lewine-Sarkis

Pf: The diagrammatically defined maps that compose to  $e$  correspond to certain polygons on a Heegaard diagram.  
Encode all relevant bimodules on a common Heegaard diagram and count!

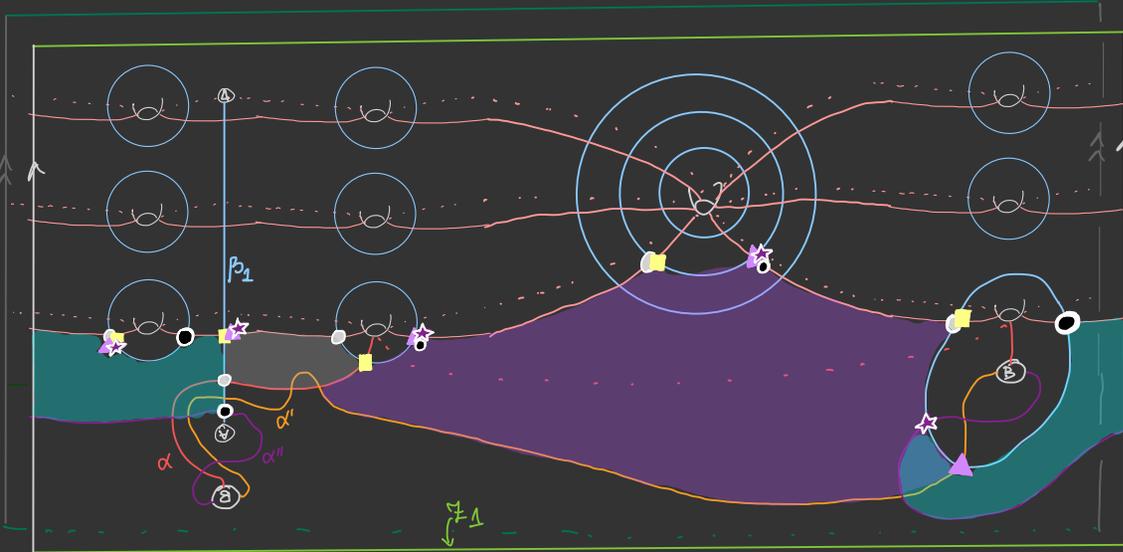
Example:



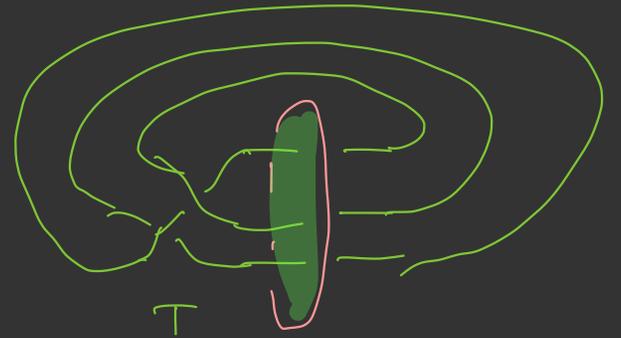
Thm(MPW)

The actions  $e, f, *f, e^* \in \widehat{\text{CFL}}(\widehat{T} \sqcup A)$  correspond to the basepoint actions by the 4 basepoints for  $A$ .

Pf:



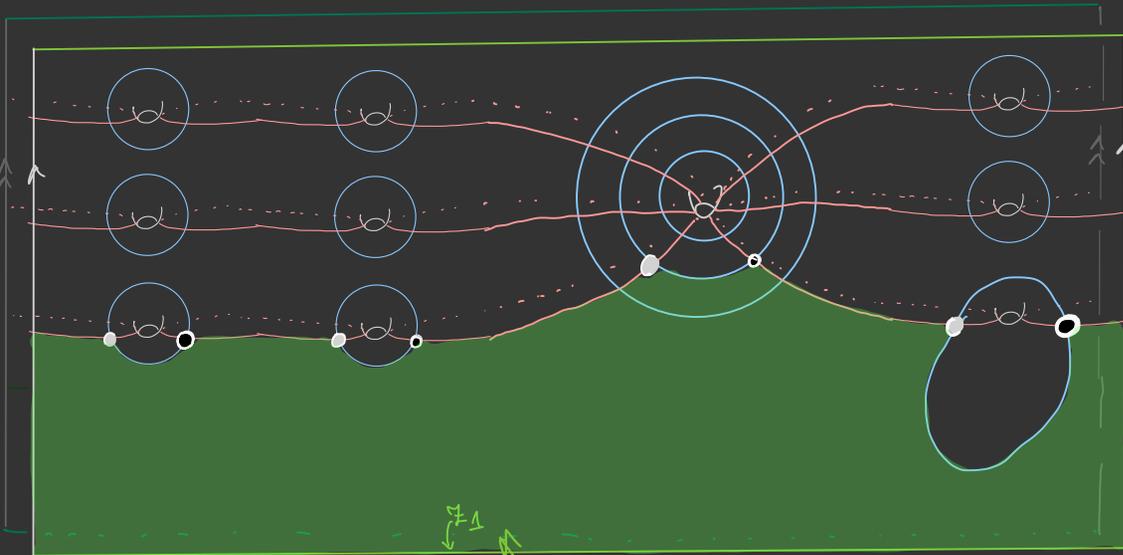
$$e = \left( \underset{\alpha}{\bullet} \xrightarrow{\alpha} \underset{\alpha}{\square} \xrightarrow{\alpha'} \underset{\alpha'}{\triangle} \xrightarrow{\alpha''} \underset{\alpha''}{\star} \xrightarrow{\alpha''} \underset{\alpha''}{\circ} \right)$$



$\mathcal{H}$  for  $\widehat{T} \sqcup A$ .

$$\partial_{z_i} = \left( \bullet \xrightarrow{\quad} \circ \right)$$

$$\text{CH}_*(\text{CT}(T)) \simeq \widehat{\text{CFL}}(\widehat{T} \sqcup A)$$



$A$

Merçi vielmal!